

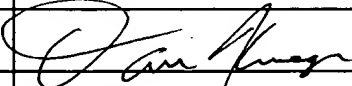
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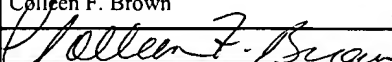
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/510,966	
	Filing Date	February 21, 2000	
	First Named Inventor	Rohit V. GAIKWAD et al.	
	Group Art Unit	UNKNOWN	
	Examiner Name	UNKNOWN	
Total Number of Pages in This Submission	5	Attorney Docket Number	1789-01910

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Small Entity Statement <input checked="" type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Acknowledgment postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Daniel J. Krueger Conley, Rose & Tayon, P.C., PO Box 3267, Houston, TX 77253-3267	Regist. No. 42,771 Tel. 713-238-8000
Signature		
Date	April 19, 2000	

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: April 19, 2000		
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Signature		Date April 19, 2000

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K:\R\1789\01910\PTO TRANS 01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Rohit V. GAIKWAD and Richard G. BARANIUK

Serial No.: 09/510,966 Group No.: UNKNOWN
Filed: February 21, 2000 Examiner: UNKNOWN
For: Signaling Techniques In Channels With Asymmetric Powers And Capacities

NOTE: Insert name(s) of inventor(s) and title also for patent. Where the refund request is with respect to a maintenance fee payment, also insert application number and filing date, and add Box M. Fee to address.

Assistant Commissioner for Patents

Washington, D.C. 20231

ATTENTION: Refund Section, Accounting Division, Office of Finance**REQUEST FOR REFUND**

(37 C.F.R. 1.28(a))

I. SUBMISSION OF VERIFIED STATEMENT

(complete (a) or (b))

- (a) ☒ Attached is a verified statement claiming small entity status in this application.
(b) ☐ A verified statement claiming small entity status was filed in this application on ____.

II. REFUND REQUEST

This request for refund is made within two months of the date a fee was paid in this application on February 21, 2000 in the amount of \$690.00.

NOTE: The two-month period (§ 1.28(a)) is not included in the provisions for extension under 37 C.F.R. 1.136 because it is not a period for response. Notice of November 30, 1983, 49 FR 548, January 4, 1984.

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))

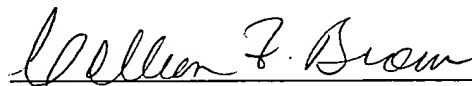
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☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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Date: April 19, 2000


Signature

Colleen F. Brown
(type or print name of person certifying)

III. FEES PAID FOR WHICH REFUND REQUESTED

☒ Filing Fee \$ 345.00

☐ Surcharge for filing the basic filing fee on a date later than the filing date of the application (37 CFR 1.16(e) or 37 CFR 1.16(1)). \$ _____

and/or

☐ Surcharge for filing the oath or declaration on a date later than the filing date of the application (37 CFR 1.16(e)) \$ _____

☐ Extension of term \$ _____

☐ Issue Fee \$ _____

☐ Patent Maintenance Fee

☐ first maintenance fee \$ _____

☐ second maintenance fee \$ _____

☐ third maintenance fee \$ _____

☐ Patent maintenance fee surcharge. \$ _____

NOTE: The refund provisions of § 1.28(a), for later submitted small entity statements, apply to maintenance fees. Notice of July 30, 1984, 1046 O.G. 28-37.

☐ Other \$ _____

TOTAL REFUND REQUESTED \$ 345.00

III. MANNER OF REFUND

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☒ crediting Account No. 03-2769



SIGNATURE OF AGENT

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Reg. No. 42,771

CONLEY, ROSE & TAYON, P.C.

P.O. Box 3267

Houston, Texas 77253-3267

Phone: (713) 238-8000

Fax: (713) 238-8008